



Northern CCG Joint Committee

Date of meeting: 3 May 2018

Does paper need to be circulated before the agenda goes out (ie earlier than 10 working days prior to the meeting) (please circle): **No**

Title of report: Terms of Reference

Purpose of report (brief description):

To approve the Terms of Reference for the Northern CCG Joint Committee.

To note that the title of the Committee will be confirmed at the meeting.

Recommendations: As above

Is the paper for (please tick):

- Decision-making
- Information Sharing
- Discussion

Actions required by Northern CCG Joint Committee:

To approve the Terms of Reference for the Northern CCG Joint Committee

Sponsor: Jon Rush

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Job Title: Business Support Manager, NECS

Date: 12 April 2018

Northern CCG Joint Committee

Terms of Reference

Version	Date	Comments
1.0	5.10.17	Considered at Joint CCG Committee for CNE meeting
2.0	12.10.17	<p>Updates incorporated following Joint CCG Committee for CNE meeting on 5.10.17 as follows:</p> <p>Para.2 – Insertion re term of office: 'The term of office will be two years'</p> <p>Para.5 – Insertion of paragraph re lay members: 'There will also be two (non-voting) lay members appointed to the Joint Committee, one of whom will be from a patient and public involvement perspective and the other from a finance and governance perspective. Where feasible, one lay member will be from the north of the patch and the other from the south of the patch'</p> <p><i>Following the selection process on 5th January 2018, the ability to do this was not possible hence why this further addition has been made in red above.</i></p> <p>Para 15 – Insertion of sentence re decision making: 'Decisions will be taken only by those CCGs to whom a particular issue applies'</p> <p>Para 16 – amendment to paragraph re collective decisions to read: The collective decisions of the Joint Committee shall be binding on all member CCGs to whom a particular issue applies, and decisions will be published by individual CCG members on their websites. All decisions of the Joint Committee must be unanimous.</p>
	4.1.18	<p>At its meeting on 1 January 2018 (development session), the Joint Committee agreed</p> <ul style="list-style-type: none"> - not to include financial limits for decision making in the terms of reference. - that the Vice-Chair would be selected from any appointed lay member

TERMS OF REFERENCE

Northern CCG Joint Committee: membership and functions

1. Membership of the Northern CCG Joint Committee (hereafter referred to as ‘the Joint Committee’) will be open to the twelve undermentioned clinical commissioning groups :
 - NHS Darlington CCG
 - NHS Durham Dales, Easington & Sedgefield CCG
 - NHS Hambleton, Richmondshire & Whitby CCG
 - NHS Hartlepool & Stockton CCG
 - NHS Newcastle Gateshead CCG
 - NHS North Cumbria CCG
 - NHS North Durham CCG
 - NHS Northumberland CCG
 - NHS North Tyneside CCG
 - NHS South Tees CCG
 - NHS South Tyneside CCG
 - NHS Sunderland CCG
2. Voting membership of the joint committee will comprise the Chair and Chief Officer from each member CCG, or a nominated deputy.
3. The Chair and Vice Chair of this Joint Committee will be elected by the members of the Joint Committee, and must come from the twelve member CCGs. Both roles cannot be undertaken by members of the same CCG. The term of office will be two years.
4. Each CCG will be entitled to exercise one vote in the Joint Committee – this means that the two representatives of each CCG will have to be in agreement when exercising their CCG’s vote. It will then be important for these representatives to canvas views from their nominating CCG prior to meetings and to discuss agenda matters in advance of meetings.
5. There will also be two (non-voting) lay members of CCGs appointed to the Joint Committee, one of whom will be from a patient and public involvement perspective and the other from a finance and governance perspective. One lay member will, where feasible, be from the north of the patch and the other from the south of the patch. One of these lay members will also perform the role of Vice-Chair.
6. Given that the Joint Committee’s proposed membership is the same (CCG Chairs and Chief Officers), the Joint Committee will convene straight after the monthly meetings of the Northern CCG Forum. Also attending the meeting (in a non-voting capacity and where appropriate under the conflicts of interest policies of the CCGs) will be the Managing Director of NECS, a named Director from NHS England, and the Head of Strategic CCG Development.
7. This Joint Committee will make decisions on subjects recommended to it by the Northern CCG Forum which will develop an annual work plan for the Joint Committee to be approved by each of the CCGs as part of the annual review of the Terms of Reference. These will be confined to issues that pertain to all CCG areas in Cumbria and the North East (and, where appropriate, Hambleton, Richmondshire and Whitby) namely the commissioning of:

- Specialist acute services
 - 111 services
8. Expansion of this scope to accommodate any decision making required to progress delivery of the STP work streams at a CNE/HRW level will only follow from the unanimous agreement of member CCGs and in line with an annually agreed work programme.
9. The Joint Committee will not make decisions on the following areas (which will remain the exclusive preserve of individual CCG Governing Bodies) including but not limited to:
- Financial planning
 - Strategic planning for the locality (e.g. 5 year plans, annual plans, primary care strategy)
 - The commissioning, contracting and performance management of
 - Local hospital services
 - Community Services
 - Primary care services
 - Mental Health and Learning Disability services
 - Community pharmacy services
 - Health and Social Care integration
 - Continuing Health Care, Funded Nursing Care, and other individual level commissioning arrangements eg S117 and other associated responsibilities
10. The Joint Committee will be guided by the following principles:
- Securing continuous improvement to the quality of commissioned services to improve outcomes for patients with regard to clinical effectiveness, safety and patient experience
 - Promoting innovation and seeking out and adopting best practice, by supporting research and adopting and diffusing transformative, innovative ideas, products, services and clinical practice within its commissioned services, which add value in relation to quality and productivity.
 - Developing strong working relationships with clear aims and a shared vision putting the needs of the people we serve over and above organisational interests
 - Avoiding unnecessary costs through better co-ordinated and proactive services which keep people well enough to need less acute and long term care.
11. The Joint Committee will also ensure compliance with the four key tests for service change as established by the Department for Health:
- Strong public and patient engagement.
 - Consistency with current and prospective need for patient choice.
 - Clear, clinical evidence base.
 - Support for proposals from commissioners.
12. In accordance with statutory powers under s.14Z3 of the NHS Act 2006, the proposed Joint Committee will be able to make decisions on procuring services and awarding contracts, chiefly to the providers of specialised acute and ambulance services. In discharging this function the committee will:
- Determine the options appraisal process for commissioning services, including agreeing the evaluation criteria and weighting of the criteria

- Where appropriate, determine the method and scope of the consultation process, and make any necessary decisions arising from a Pre-Consultation Business Case (and the decision to go run a formal consultation process). That includes any determination on the viability of models of care pre-consultation and during formal consultation processes, as set out in s.13Q, s.14Z2 and s.242 of the NHS Act 2006 (as amended).
- Approve the formal report on the outcome of the consultation that incorporates all of the representations received in order to reach a decision, taking into account all of the information collated and representations received in relation to the consultation process.
- Make decisions to satisfy any legal requirements associated with consulting the public and making decisions arising from it, ensuring that individual CCGs' retained duties can be met.

Decision-making and links to individual CCG Governing Bodies

- 13.** The NHS Act 2006 (as amended) enables CCGs to exercise certain functions jointly and to take collective binding decisions as to the exercise of these functions. To be clear, this legislative permission only applies to Joint Committees of CCGs and does not apply to enable decision-making to be exercised by any alternatively constituted or wider group (for example, an STP Board or Programme Board).
- 14.** Under this legal framework, the power to take commissioning decisions in respect of health services sits with CCGs (and to a more limited extent NHS England), with decisions being taken by the Governing Body or otherwise, as determined in the relevant governance documents. On this basis, all commissioning decisions must be taken by the CCGs acting independently or as a formally constituted joint CCG committee. Therefore, when functions are delegated to the Joint Committee, it will transact all the work necessary to discharge those functions. The Joint Committee will be the decision maker in relation to that work and those functions, however it is for the members of the Joint Committee to consult their own Governing Body prior to any decision being taken and for the members to report back to their relevant CCG Governing Body.
- 15.** The relevant parties to whom any Joint Committee decision applies must be agreed first by the Joint Committee itself – before any recommendations are brought back to it for decision-making (this will allow for the exclusion of certain CCGs where the geographical scope of a proposal does not apply to them or because of their current status, e.g. where legal directions prohibit them from taking the decision). Decisions will be taken only by those CCGs to whom a particular issue applies.
- 16.** The collective decisions of the Joint Committee shall be binding on all member CCGs to whom a particular issue applies, and decisions will be published by individual CCG members on their websites. All decisions of the Joint Committee must be unanimous.
- 17.** The Joint Committee will have a forward plan to ensure CCG members are clear which decisions they need to prepare for. It will be the responsibility of each member CCG to ensure that their Governing Body and/or other CCG decision making body is appropriately consulted and briefed ahead of Joint Committee meetings, and is provided with regular updates on the business of the Joint Committee so that they are clear on the implications of the decisions made.
- 18.** Implementation of the decisions will be the remit of each member CCG and therefore accurate reporting back to their respective Governing Body is essential. The Joint Committee will make regular written reports to the Governing Bodies of its member CCGs, and will review its aims,

objectives, strategy and progress and produce an annual report for the member Governing Bodies.

19. While CCGs can delegate decisions to the Joint Committee they can also agree the governing bodies or members input on these decisions and have them provide recommendations into the Joint Committee.
20. It is essential that each CCG delegates the same level of authority for the same matters into the Joint Committee.
21. Should this joint commissioning arrangement prove to be unsatisfactory, the Governing Body of any of the member CCGs can decide to withdraw from the arrangement and pull out of the Joint Committee.

Meetings of the Northern CCG Joint Committee:

22. Members of the Joint Committee have a collective responsibility for the operation of the Joint Committee. They will participate in discussion, review evidence and provide objective expert input to the best of their knowledge and ability, and endeavor to reach a collective view.
23. The Joint Committee will usually meet on a quarterly basis, but additional meetings can be called as required.
24. The Joint Committee may call additional experts to attend meetings on an ad hoc basis to inform discussions.
25. The Joint Committee has the power to establish sub groups and working groups and any such groups will be accountable to the Joint Committee (and ultimately the member CCGs).
26. Para 8 of Schedule 1A of the NHS Act 2006 requires meetings of a Governing Body to be in public unless it is not in the public interest to hold them in public. It will be for the members of the formally constituted Joint Committee to decide whether their meetings (or parts of them) are held in public to help them meet their statutory duties of transparency and public involvement.
27. The Joint Committee shall adopt the standing orders of North Durham CCG (which is one of its constituent CCGs) insofar as they relate to the:
 - Notice of meetings
 - Recording and minuting of meetings
 - Agendas
 - Circulation of papers
 - Conflicts of interest (together with complying with the statutory guidance issued by NHS England)
 - At least one full voting member from each CCG must be present for the meeting to be quorate.
 - All decisions of the Joint Committee must be unanimous (see section 19.1 above).
28. Members of the Joint Committee shall respect confidentiality requirements as set out in the Standing Orders unless separate confidentiality requirements are set out for the Joint Committee in which event these shall be observed.

29. The secretariat to the Joint Committee will:

- Circulate agenda and associated documents at least ten working days prior to the meeting
- Work in collaboration with CCG and NECS communication and engagement personnel to publicise the meeting/agenda and documents on all CCG websites
- Circulate the minutes and action notes of the Joint Committee within three working days of the meeting to all members
- Present the minutes and action notes to the governing bodies of the CCGs.

30. These terms of reference will be formally reviewed annually by the CCGs and may be amended by mutual agreement between the CCGs at any time to reflect changes in circumstances as they may arise.
